UNITED STATES OF AMERICA before the COMMODITY FUTURES TRADING COMMISSION

In the Matter of:
Whistleblower Award Claim of Claimant – Form WB-APP 2012-12-03-01

ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award claim of Claimant, submitted on Form WB-APP 2012-12-03-01.

Pursuant to the Commission's Whistleblower Rules (17 C.F.R. Part 165), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that this whistleblower award claim be denied.

In accordance with 7 U.S.C. § 26(f) and 17 C.F.R. § 165.13, if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: Aug. 9, 2013

Melissa D. Jurgens

Secretary of the Commission

Commodity Futures Trading Commission

1155 21st Street, N.W. Washington, DC 20581

UNITED STATES OF AMERICA before the COMMODITY FUTURES TRADING COMMISSION

In the Matter of:
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WHISTLEBLOWER AWARD DETERMINATION

Before the Whistleblower Award Determination Panel of the Commodity Futures

Trading Commission (Commission) is the whistleblower award claim of Claimant (Claimant),

filed on Form WB-APP 2012-12-03-01.

IT IS HEREBY ORDERED that:

- 1. The claim is denied because the Claimant did not file a Form TCR as required by Section 23(a)(7) of the Commodity Exchange Act (CEA) [7 U.S.C. § 26(a)(7)] and Rules 165.2(p) and 165.3 of the Commission's Whistleblower Rules (Rules) [17 C.F.R. §§ 165.2(p), 165.3].
- 2. The claim is also denied because the Claimant first provided information about the matter at issue to the Commission in March 2009. Sections 23(b)(1) and 23(k) of the CEA [7 U.S.C. §§ 26(b)(1), 26(k)], and Rule 165.2(k)(4) [17 C.F.R. § 165.2(k)(4)], require an individual to provide "original information" to the Commission for the first time after July 21, 2010.

Dated: July 2, 2013

Whistleblower Award Determination Panel Commodity Futures Trading Commission 1155 21st Street, N.W. Washington, DC 20581